

ELECTRONICALLY FILED

PATENT APPLICATION  
Docket No: 15436.253.84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
		)
	Lewis B. Aronson et al.	)
		)
Serial No.:	10/614,112	) Art Unit
		) 2613
Filed:	July 3, 2003	)
		)
Confirmation No:	7667	)
		)
For:	OPTICAL TRANSCEIVER MODULE WITH	)
	POWER INTEGRATED CIRCUIT	)
		)
Customer No.:	022913	)
		)
Examiner:	Christina Y. Leung	)

REVOCATION AND SUBSTITUTE POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I, the undersigned, Stephen K. Workman, state that I am the Senior Vice President of Finance and the CFO of Finisar Corporation and that I am authorized to execute this Revocation and Substitute Power of Attorney on behalf of Finisar Corporation.

I further state that Finisar Corporation is the assignee of the entire interest of the above-identified patent as shown by the assignment recorded in the U.S. Patent and Trademark Office at the Reel and Frame identified in Exhibit A and assignments identified in Exhibit B. The assignee, Finisar Corporation, hereby revokes all previous powers of attorney in the above-identified patent, and now hereby appoints all attorneys under:

**CUSTOMER NUMBER: 022913**

of WORKMAN NYDEGGER as attorney with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the Letters Patent, and to transact all business in the Patent and Trademark Office connected therewith.

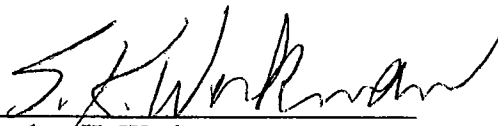
All correspondence and telephonic communication should be directed to:

**ERIC L. MASCHOFF**

at the address associated with the above-identified customer number.

This Revocation and Substitute Power of Attorney and Statement under 37 C.F.R. 3.73(b)(1) is effective for the above-identified patent, and shall be filed at the U.S. Patent & Trademark Office.

Signed this 16 day of MARCH, 2006.

By:   
Stephen K. Workman  
Sr. Vice President Finance and CFO  
Finisar Corporation  
1389 Moffett Park Drive  
Sunnyvale, CA 94089



# **EXHIBIT A**



9775-104-999

60900-0104

**UNITED STATES PATENT AND TRADEMARK OFFICE** 15476253.84UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 05, 2004

PTAS



\*102502440A\*

PENNIE & EDMONDS LLP  
3300 HILLVIEW AVENUE  
PALO ALTO, CA 94304UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/03/2003

REEL/FRAME: 014306/0714

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ARONSON, LEWIS B.

DOC DATE: 06/12/2003

ASSIGNOR:

LIGHT, GRETA L.

DOC DATE: 06/12/2003

ASSIGNOR:

HOSKING, STEPHEN G.

DOC DATE: 06/12/2003

ASSIGNEE:

FINISAR CORPORATION  
1308 MOFFET PARK DRIVE  
SUNNYVALE, CALIFORNIA 94089-1133

SERIAL NUMBER: 10614112

FILING DATE: 07/03/2003

PATENT NUMBER:

ISSUE DATE:

014306/0714 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-21-2003

Express Mail No. EV 313 841 081 US

Attorney Docket Number  
9775-0104-999

102502440

Mail Stop Assignment Recordation Services  
Director of the United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Please record the attached original documents or copy thereof.

16800 U.S. PTO  
10/614112

07/03/03

## 1. Name of conveying party(ies):

Lewis B. Aronson  
Greta L. Light  
Stephen G. Hosking

7.3.03

## 2. Name and address of receiving party(ies):

Name: Finisar CorporationAddress: 1308 Moffet Park Drive  
Sunnyvale, CA 94089-1133

Country (if other than USA):

106/4112

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: June 12, 2003

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 12, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP  
3300 Hillview Avenue  
Palo Alto, California 94304

## 6. Number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00  
Please charge to the deposit account listed in Section 8.

## 8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Dion M. Bregman

45,645

for Gary S. Williams

31,066

Name of Person Signing

Reg. No.

Signature

July 3, 2003

Date

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:

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Director of the United States Patent and Trademark Office

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07/18/2003 LMUELLER 00000134 161150 10614112

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ASSIGNMENT

WHEREAS, WE, LEWIS B. ARONSON of Los Altos, California; GRETA L. LIGHT of San Mateo, California; and STEPHEN G. HOSKING of Santa Cruz, California, ASSIGNORS, are the inventors of the invention in OPTICAL TRANSCEIVER MODULE WITH POWER INTEGRATED CIRCUIT for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐

☒ which is identified by Pennie & Edmonds LLP docket no. 9775-0104-999

☐ which was filed on \_\_\_\_\_, Application No. \_\_\_\_\_

and WHEREAS, FINISAR CORPORATION, having a principal place of business at 1308 Moffet Park Drive, Sunnyvale, CA 94089-1133, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

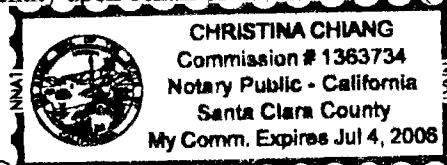
Date 6/12/, 2003 Lewis B. Aronson L.S.  
Lewis B. Aronson

State of California }  
County of Santa Clara } SS.:

On 6/12/2003, 2003, before me, Christina Chiang, Notary Public, personally appeared Lewis B. Aronson, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Christina Chiang



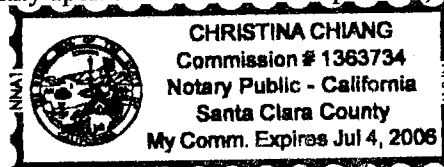
Date 6-12-03, 2003 Greta L. Light L.S.  
Greta L. Light

State of California }  
County of Santa Clara } SS.:

On 6-12-03, 2003, before me, Christina Chiang, Notary Public, personally appeared Greta L. Light, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Christina Chiang



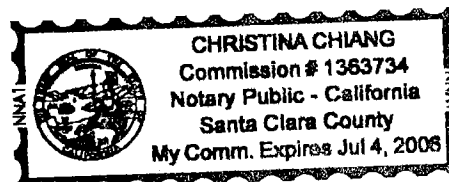
Date 12 June 2003, 2003 Stephen G. Hosking L.S.  
Stephen G. Hosking

State of California }  
County of Santa Clara } SS.:

On 12 June 2003, 2003, before me, Christina Chiang, Notary Public, personally appeared Stephen G. Hosking, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Christina Chiang





# **EXHIBIT B**

## EXHIBIT B

WN File No:	Serial No:	Title:	Inventors:	Date Filed:
15436.253.84	10/614,112	OPTICAL TRANSCIVER MODULE WITH POWER INTEGRATED CIRCUIT	Lewis B. Aronson Greta L. Light Lucy G. Hosking	07/03/2003